

Our Ref: 2015-196

Date: November 2015

**Subject: Section 993 of the Companies Act 2006**

This request asked about prosecutions under section 993 of the Companies Act 2006.

The Companies Act 2006 received Royal Assent in November 2006. Part 29 of the Act, which contains section 993, offence of fraudulent trading, came into force on 1 October 2007, in accordance with the Companies Act 2006 (Commencement No. 3, Consequential Amendments, Transitional Provisions and Savings) Order 2007.

For SFO cases, our records are as shown in the table below.

Year	Number of cases in court	Convictions
2015 to date	1	1
2014	3	3
2013	0	0
2012	0	0
2011	4	3

Our central records show the year in which an outcome was reached for each case, rather than the year that the case came to court (which may be earlier). So the outcome year is what is shown in the table.

The SFO's comprehensive central record of prosecutions dates back to 2012. Information that is available through pre-2012 recording systems has been included above, but may not be complete.

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